

Principles of Quantum Computation, a Physicist's View: Computation is a Physical Process!

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- **Physics of 2-level quantum systems (spins)**
 - states: superposition, entangled states
 - unitary time evolution (spin rotation, phase shifts,...)
 - phase coherence, dephasing, measurement process
- **Elementary operations for quantum computation: “gates”**
NOT, $\sqrt{\text{NOT}}$, $U(\varphi)$, CNOT, CU(φ), ... reversible! (unitary time evolution)
quantum parallelism \Rightarrow huge gain in speed
reduction by measurement \Rightarrow huge loss of information
- **Examples of quantum computation**
 - discrete Fourier-transformation
 - Shor's algorithm for factorizing large integers
 - principles of error correction
- **Physical realizations of qubits and gates**

Spin-1/2 System:

- basis states $|0\rangle = |\uparrow\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|1\rangle = |\downarrow\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
- superposition $|\psi\rangle = \alpha|\uparrow\rangle + \beta|\downarrow\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$, $|\alpha|^2 + |\beta|^2 = 1$
- N spins: Hilbert space of 2^N dimensions

- 'simple' states (product states)

$$|\psi\rangle = (\alpha_1|\uparrow\rangle_1 + \beta_1|\downarrow\rangle_1) \times (\alpha_2|\uparrow\rangle_2 + \beta_2|\downarrow\rangle_2) \times \dots (\alpha_N|\uparrow\rangle_N + \beta_N|\downarrow\rangle_N)$$

- 'entangled' states (cannot be written as product state)

e.g. spin singlet state: $|\psi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$

arise as result of interaction

'exotic' \Rightarrow Bell's inequality, EPR paradox

- Operators: Pauli matrices $\sigma_x, \sigma_y, \sigma_z$

e.g. spin in magnetic field $H = B_x \sigma_x + B_y \sigma_y + B_z \sigma_z$

- Time evolution described by Hamiltonian / unitary operation
phase coherent, reversible

$$i\hbar \frac{\partial}{\partial t} \Psi(t) = H(t) \Psi(t) \quad \Leftrightarrow \quad |\psi(t)\rangle = U(0,t) |\psi(0)\rangle$$

$$U(0,t) = \text{T exp} \left(-\frac{i}{\hbar} \int_0^t dt' H(t') \right)$$

$$UU^+ = 1$$

- Quantum statistics: density matrix $\rho(t)$

pure state $|\psi\rangle = \alpha |\uparrow\rangle + \beta |\downarrow\rangle \Rightarrow \rho = |\psi\rangle\langle\psi| = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix}$

'mixed states' described only by $\rho(t)$

dephasing \Rightarrow off-diagonal elements decay (on time scale $T_2 = \tau_\phi$)

relaxation \Rightarrow approach to thermal distribution (on time scale T_1)

Quantum computation:

- store information in spin state / qubit
- program: manipulate qubits by controlling Hamiltonian
- model Hamiltonian [switch on and off $B_v^i(t)$ and $J^{ij}(t)$]:

$$H(t) = -\sum_{i=1}^N \left[B_x^i(t) \sigma_x^i + B_z^i(t) \sigma_z^i \right] - \sum_{i < j} J^{ij}(t) \sigma_+^i \sigma_-^j + h.c.$$

allows “universal set of gates” (sufficient for all needed logic operations)

1. single-bit logic gate: spin rotation of spin i

$$H(t) = -B_x^i \sigma_x^i \quad \text{for some time } \tau$$

$$U_x^i(\varphi) = \exp\left(i B_x^i \sigma_x^i \tau / \hbar \right) = \begin{pmatrix} \cos \varphi & i \sin \varphi \\ i \sin \varphi & \cos \varphi \end{pmatrix}, \quad \varphi = \frac{B_x^i \tau}{\hbar}$$

creates superposition of states, logic iNOT for $\varphi = \pi/2$, $\sqrt{i\text{NOT}}$ for $\varphi = \pi/4$.

2. phase shift

$$H(t) = -B_z^i \sigma_z^i \quad \text{for some time } \tau$$

$$U_z^i(\varphi) = \exp\left(i B_z^i \sigma_z^i \tau / \hbar \right) = \begin{pmatrix} \exp(i\varphi) & 0 \\ 0 & \exp(-i\varphi) \end{pmatrix}, \quad \varphi = \frac{B_z^i \tau}{\hbar}$$

3. two-bit gate: for spins i and j

$$H(t) = -J^{ij} \sigma_+^i \sigma_-^j + h.c.$$

for some time τ

$$U_{2-bit}^i(\gamma) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \gamma & i \sin \gamma & 0 \\ 0 & i \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{in basis } \begin{matrix} |\uparrow_i \uparrow_j\rangle \\ |\uparrow_i \downarrow_j\rangle \\ |\downarrow_i \uparrow_j\rangle \\ |\downarrow_i \downarrow_j\rangle \end{matrix}$$
$$\gamma = J^{ij} \tau / \hbar$$

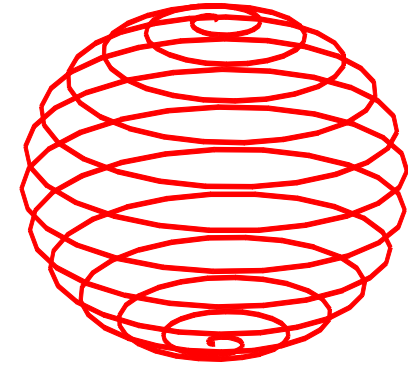
creates entanglement, logic iSWAP for $\gamma = \pi/2$, $\sqrt{\text{iSWAP}}$ for $\gamma = \pi/4$, XNOT, ...

Spin rotation by Rabi oscillations

$$H = -\frac{1}{2}\hbar\omega_0\sigma_z - \frac{1}{2}\hbar\Omega_R(\cos\omega t\sigma_x + \sin\omega t\sigma_y)$$

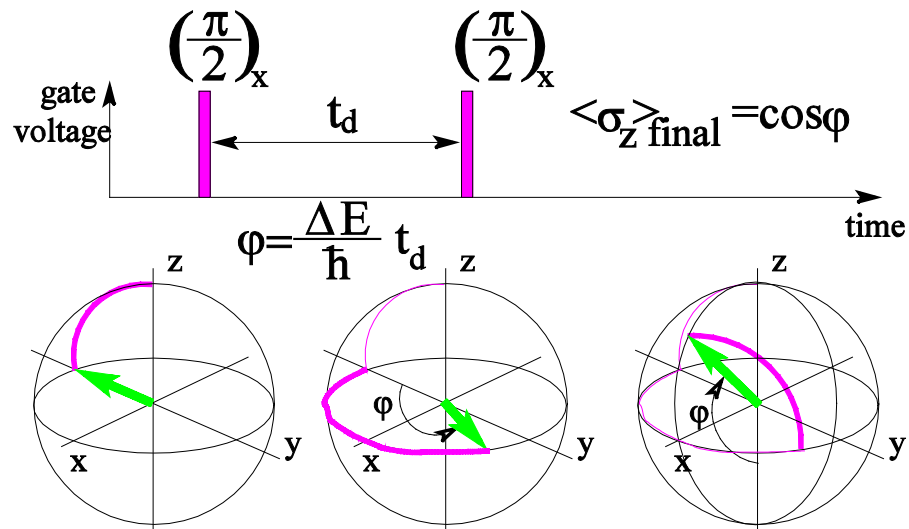
operate at resonance $\omega = \omega_0$

in rotating frame
(unitary transformation) $H' = -\frac{1}{2}\hbar\Omega_R\sigma_x$
→ rotation around x'-axis



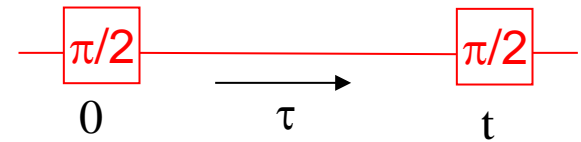
in lab frame

Coherent oscillations, Ramsey fringes

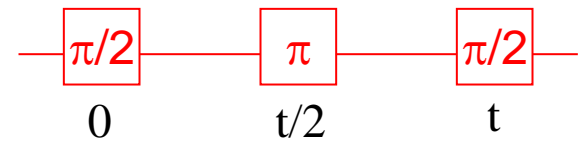


Echo experiment refocusing

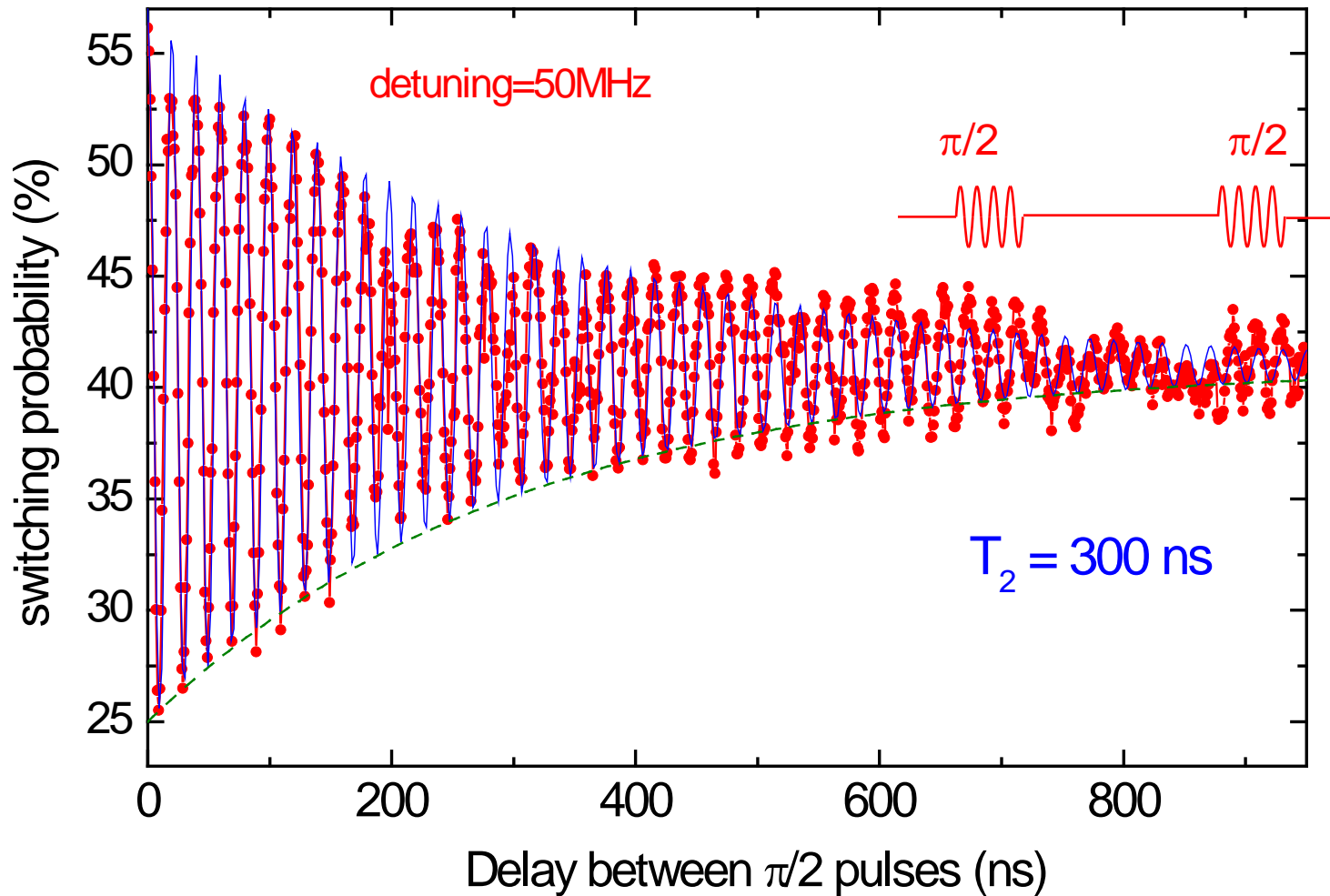
Free decay (Ramsey fringes)



Echo signal

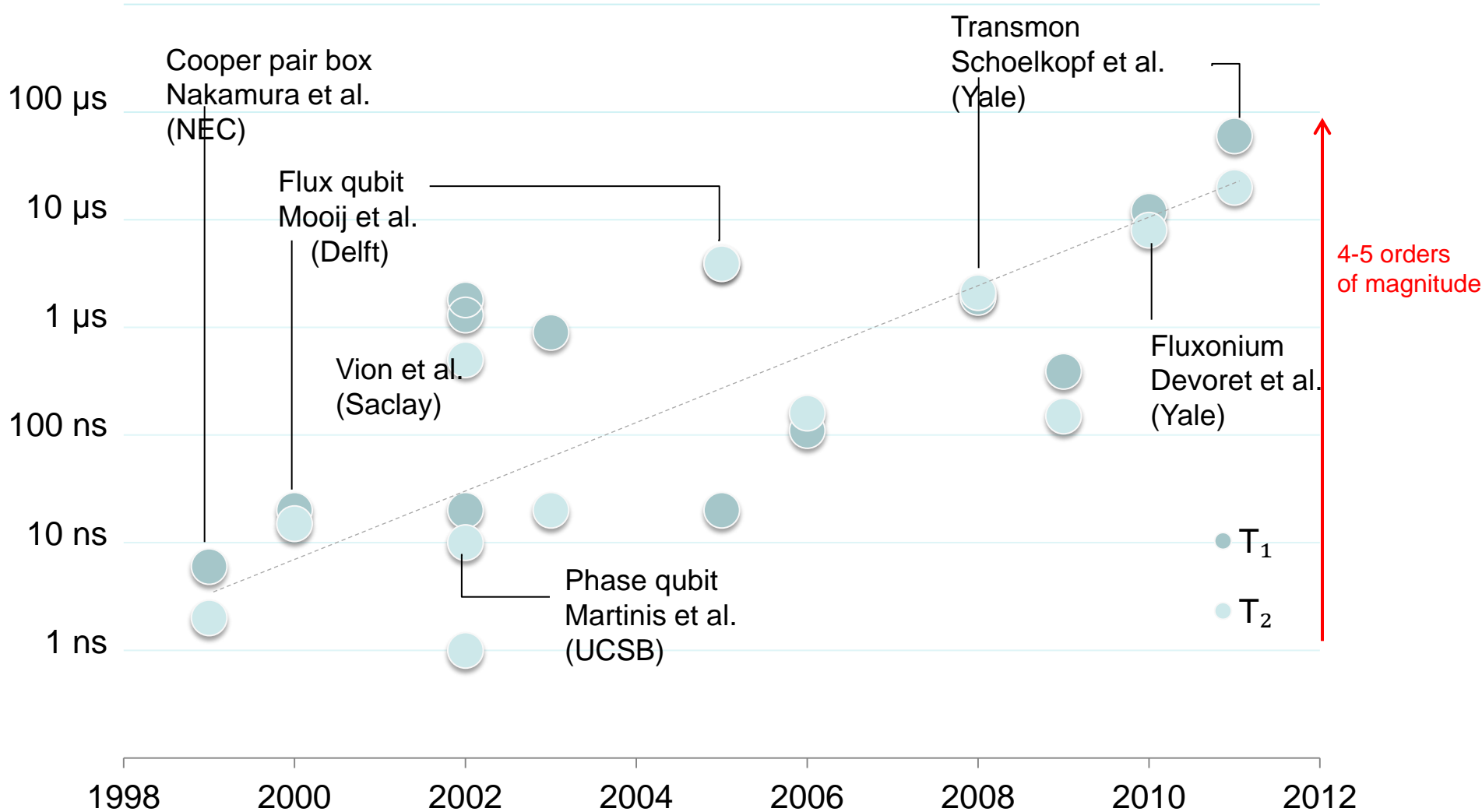


Decay of Ramsey fringes at optimal point



Superconducting qubits: coherence times

Moore's law?



Courtesy of Jens Koch, Northwestern U.

Elements of quantum computation:

classical: bits, registers, elementary gate NAND is sufficient,
 reset bits to zero (delete information, enhance entropy)
 functions $x \rightarrow f(x)$, in general irreversible

quantum: qubits, quantum register, universal set of gates,
 all steps (except measurement) phase coherent
 functions $|x, 0\rangle \leftrightarrow |x, f(x)\rangle$ reversible

2^N numbers represented by register of N qubits

$$|0\rangle = |\uparrow \dots \uparrow \uparrow \uparrow\rangle$$

$$|1\rangle = |\uparrow \dots \uparrow \uparrow \downarrow\rangle$$

...

$$|2^N - 1\rangle = |\downarrow \dots \downarrow \downarrow \downarrow\rangle$$

Quantum Parallelism

Start with superposition of states (e.g. all integers $0 \leq x \leq 2^N - 1$)

$$|\psi(t=0)\rangle = \frac{1}{2^{N/2}} (|\uparrow\rangle_1 + |\downarrow\rangle_1) (|\uparrow\rangle_2 + |\downarrow\rangle_2) \dots (|\uparrow\rangle_N + |\downarrow\rangle_N) = \frac{1}{2^{N/2}} \sum_{x=0}^{2^N-1} |x\rangle$$

perform unitary operations (= program) on all states simultaneously.

$$|\{\! \{x\} \!\}, \{0\}\rangle \rightarrow |\{\! \{x\} \!\}, \{f(x)\}\rangle \quad \text{i.e. get whole function in one calculation.}$$

\Rightarrow **Massive parallel computation!**

Quantum Measurement:

At the end one can read out the state of N qubits.

N measurements provide much less information than contained in the quantum states (2^N amplitudes).

For some applications this is enough!

- Shor's algorithm for factorization of large integers
- Grover's algorithm for seeking a needle in a haystack
- Simulating quantum problems (time evolution, ground state,...)

Examples of logic gates

Hadamard gate

acting on one qubit (i)

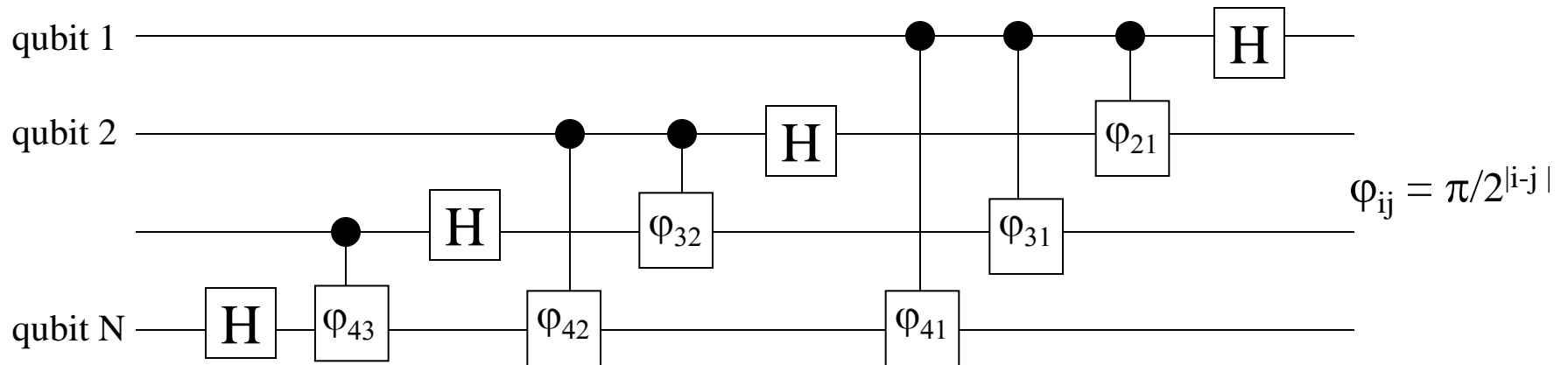
$$\begin{aligned} |\uparrow\rangle &\rightarrow \frac{1}{\sqrt{2}}(|\uparrow\rangle + |\downarrow\rangle) \\ |\downarrow\rangle &\rightarrow \frac{1}{\sqrt{2}}(|\uparrow\rangle - |\downarrow\rangle) \end{aligned} \quad \text{---} \boxed{\text{H}} \text{---} \quad = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = i \exp\left(-i \frac{\pi}{2} \frac{\sigma_x^i + \sigma_z^i}{\sqrt{2}}\right)$$

Controlled phase shift gate

acting on 2 qubits (i and j)

$$\begin{aligned} |\uparrow\uparrow\rangle &\rightarrow |\uparrow\uparrow\rangle, & |\uparrow\downarrow\rangle &\rightarrow |\uparrow\downarrow\rangle \\ |\downarrow\uparrow\rangle &\rightarrow |\downarrow\uparrow\rangle, & |\downarrow\downarrow\rangle &\rightarrow e^{i\varphi} |\downarrow\downarrow\rangle \end{aligned} \quad \begin{array}{c} \text{---} \bullet \text{---} \\ | \\ \text{---} \boxed{\varphi} \text{---} \end{array} \Leftrightarrow \exp\left(-i\varphi(\sigma_+^i \sigma_-^j + \sigma_-^i \sigma_+^j)\right)$$

Example: Fourier transformation



$$|0\rangle = |\uparrow \dots \uparrow \uparrow \uparrow\rangle$$

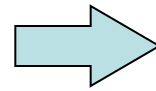
$$|1\rangle = |\uparrow \dots \uparrow \uparrow \downarrow\rangle$$

...

$$|2^N - 1\rangle = |\downarrow \dots \downarrow \downarrow \downarrow\rangle$$

$$\sum_{x=0}^{2^N-1} a_x |x\rangle$$

superposition
of all states



$$\sum_{k=0}^{2^N-1} c_k |k\rangle$$

$$c_k = \frac{1}{2^N} \sum_{x=0}^{2^N-1} \exp\left(\frac{2\pi i k x}{2^N}\right) a_x$$

of quantum gates $\sim N^2$



classical FFT $\sim 2^N$

Factorization of large integers

The factorization of large integers with N digits is intractable on a **classical computer** (state of the art, best known algorithm):

$$\begin{array}{lll} t \approx \exp[a N^{1/3}] & \approx 1 \text{ month CPU} & \text{for } N=130 \text{ digits} \\ \text{exponential} & \approx 10^{10} \text{ years} & \text{for } N=400 \text{ digits} \end{array}$$

quantum computer (Shor's algorithm):

$$\begin{array}{lll} t \approx a N^3 & \approx 1 \text{ month (e.g.)} & \text{for } N=130 \text{ digits} \\ \text{polynomial} & \approx 3 \text{ years} & \text{for } N=400 \text{ digits} \end{array}$$

High interest in the problem since RSA **cryptosystem**
(used by banks, Netscape, ...).

Relies on *assumption* that the factorization is difficult.

RSA cryptosystem

(Rivest, Shamir, Adleman '78)

Alice

public channel

Bob

p, q large primes, $n = p q$

$p=5, q=3$ $n=15$

$e > 1$ coprime with $p-1, q-1$

$e=3$ no common divisor with 4, 2

← n, e : public key

$n=15, e=3$

$e d = 1 \pmod{(p-1)(q-1)}$

$3 d = 1 \pmod{8} \rightarrow d=3$

n, d : secret key

message m

→ $s = m^e \pmod{n}$

$s^d \pmod{n} = m$

$m = 2$

$2^3 \pmod{15} = 8$

$8^3 \pmod{15} = 512 \pmod{15} = 2$

3

$3^3 \pmod{15} = 12$

$12^3 \pmod{15} = 1728 \pmod{15} = 3$

4

$4^3 \pmod{15} = 4$

$4^3 \pmod{15} = 4$

5

$5^3 \pmod{15} = 5$

$5^3 \pmod{15} = 5$

Shor's algorithm

1. Elements of number theory:

- find factors of $n (=p q)$ \Leftrightarrow find period r of $f_{a,n}(x) = a^x \bmod n$
'intractable' on classical computer $x = 1, 2, 3, \dots$ a random, coprime with n
equally 'intractable'
- if r is even, and $r \bmod n \neq -1 \Leftrightarrow p, q = \gcd(a^{r/2} \pm 1, n)$
- greatest common divisor can be found in polynomial time (*Euclid, 300 BC*)

Example: $n = 15$

select $a = 2$

$x = 1, 2, 3, 4, 5, 6, 7, \dots \Rightarrow f_{a,n}(x) = a^x \bmod n = 2, 4, 8, 1, 2, 4, 8, 1, \dots \Rightarrow$ period $r = 4$
 $\Rightarrow a^{r/2} = 4, p = \gcd(3, 15) = 3, q = \gcd(5, 15) = 5 \Rightarrow n = 3 \times 5$

for $a = 7 \Rightarrow f_{a,n}(x) = a^x \bmod n = 7, 4, 13, 1, 7, 4, 13, \dots \Rightarrow$ period $r = 4$

different function $f_{a,n}(x)$, but same period,

$\Rightarrow a^{r/2} = 49, p = \gcd(48, 15) = 3, q = \gcd(50, 15) = 5 \Rightarrow n = 3 \times 5$

for $a = 14 \Rightarrow f_{a,n}(x) = a^x \bmod n = 14, 1, 14, 1, 14, 1, 14, \dots \Rightarrow$ period $r = 2$

$\Rightarrow a^{r/2} = 14$, method fails

2. Exploit quantum parallelism:

compute $f_{a,n}(x) = a^x \bmod n$ for all x simultaneously

- initial state $|0^N\rangle|0^N\rangle$ ($2N$ qubits)
- apply N Hadamard gates $H_1 H_2 \dots H_N |0^N\rangle|0^N\rangle = \frac{1}{2^N} \sum_{x=0}^{2^N-1} |x\rangle|0^N\rangle$
 \Rightarrow superposition of all x
- apply $U \Rightarrow |x\rangle|f_{a,n}(x)\rangle \Rightarrow$ whole function is encoded in register!

This information cannot be read out! But we need only period!

- measure second register, obtain some value j ,
 \Rightarrow project onto subspace of those states $|x\rangle|j\rangle$ where $f_{a,n}(x) = j$

example: $n=15, a=2$

measure $j=2 \Rightarrow$ post measurement state = $(|1\rangle + |5\rangle + |9\rangle + \dots)|2\rangle$

measure $j=4 \Rightarrow$ post measurement state = $(|2\rangle + |6\rangle + |10\rangle + \dots)|4\rangle$

...

- different measurements yield different j , project onto different subspaces, all have same period r , but different offset k_j : $|\psi\rangle = \sum_{i=0}^{2^N/r-1} |i r + k_j\rangle |j\rangle$

3. Apply discrete Fourier transform to find r

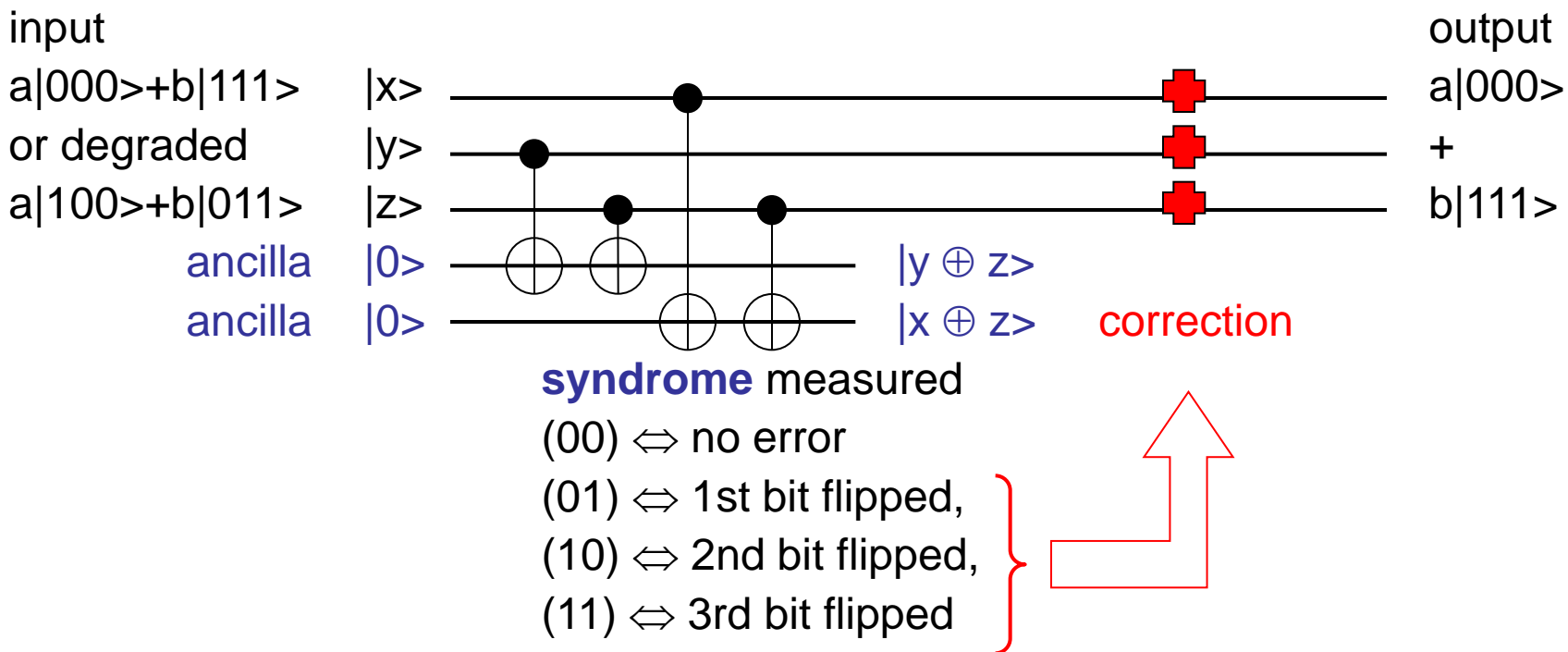
\Rightarrow factorization of large integer in polynomial time!

Error correction

- Classical digital computers are reliable ($0.9 \rightarrow 1$, $0.1 \rightarrow 0$) usually need **no** error correction.
- If needed, do so by majority vote: $0 \rightarrow (000)$, $1 \rightarrow (111)$
single bit flip error, e.g. (001), can be detected and corrected.
- Quantum computer suffers from
 - more errors: bit flip $|0\rangle \leftrightarrow |1\rangle$
continuous errors $a|0\rangle + b|1\rangle \rightarrow a'|0\rangle + b'|1\rangle$
phase errors $a|0\rangle + b|1\rangle \rightarrow a e^{i\beta}|0\rangle + b|1\rangle$
 - measurement interrupts quantum computation
 - cloning of quantum state is not possible

Quantum error correction: (example bit flips only)

- encode logical bits with 3 qubits $|0\rangle = |000\rangle$, $|1\rangle = |111\rangle$
- check by quantum non-demolition measurement whether spin flip occurred, read out syndrome (not the state!) and correct if needed.



All errors can be corrected by 9 qubit encoding (*Shor 95*)

5 qubit encoding (*DiVincenzo + Shor 96*)

Requirements for Quantum Information Systems

(DiVincenzo criteria)

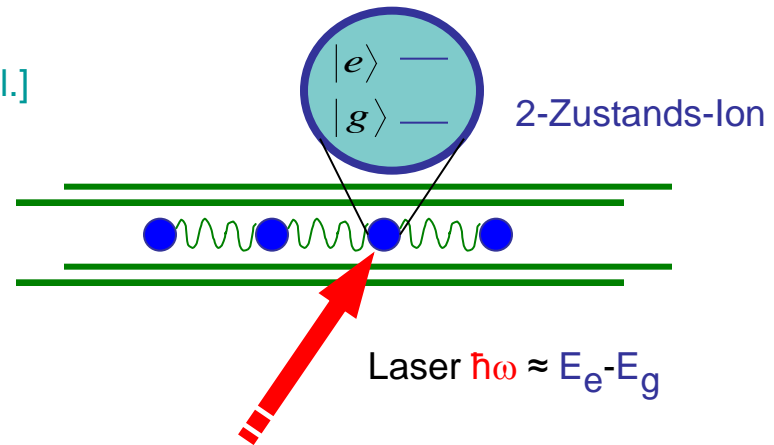
1. N well defined qubits, scalable to large N
2. preparation of well-defined initial state
3. all single-bit gates and some two-bit gates, forming universal set
4. long coherence time τ_φ (genauer $T_1, T_2 \geq 10^4 \tau_{op}$)
5. read-out

Physikalische Realisierungen:

Ionen in Fallen

[Cirac und Zoller (96), Wineland et al., Blatt et al.]

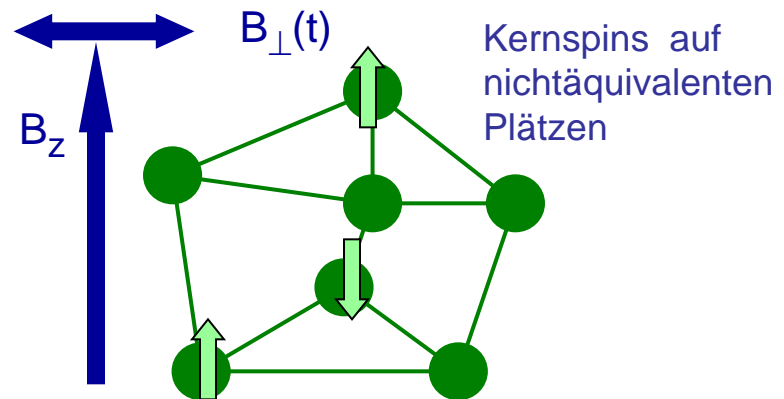
- + großartige Experimente
- + langes τ_φ
- + >10 gekoppelte qubits
- schwer in Elektronik integrierbar
- schwer zu großen N skalierbar



NMR

[Chuang et al., Vandersypen et al.]

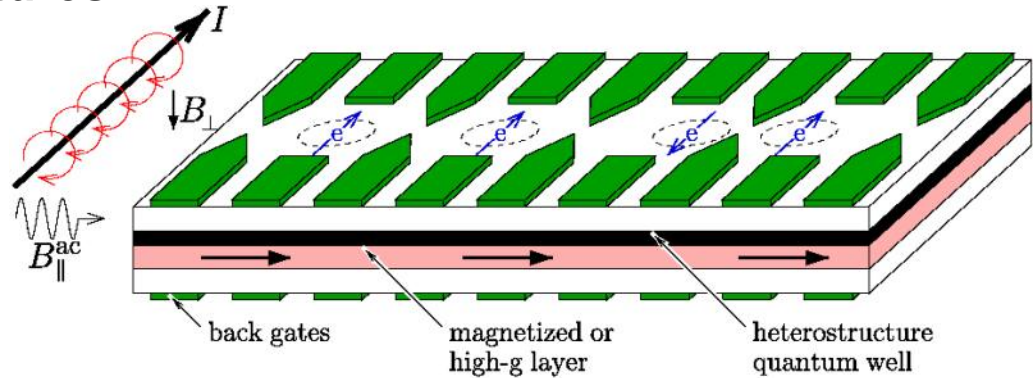
- + etablierte Technologie
- + langes τ_φ
- + 7 qubits gekoppelt
- + 15 = 3 x 5 demonstriert
- nicht zu großen N skalierbar
- sehr langsam



Electron spins in gated structures

[Loss & DiVincenzo, ...]

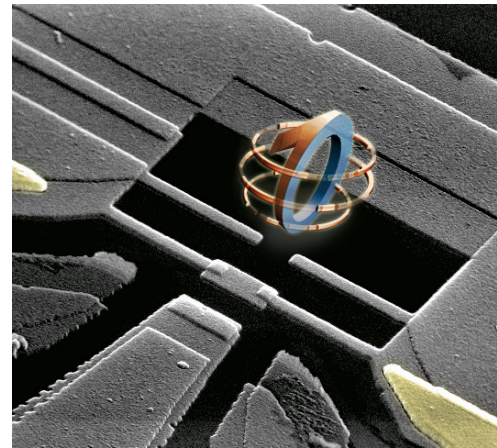
- + τ_{ϕ} for spins $>$ τ_{ϕ} for charge
- + precisely 2 states
- experimental challenge



Josephson junction qubits

[Mooij, Shnirman&GS, Ustinov,]

- + technology available (SET, SQUID)
- + integrated into electronic circuit
- + scalable, 4 qubits coupled
- many sources of decoherence



Quantronium
(Saclay)

Dorit Aharonov, Quantum Computation
arXiv:quant-ph/9812037